



Patent  
247/062

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

**Tsugita et al.**

**Serial No.: 09/467,293**

Filed: December 17, 1999

For: **BALLOON OCCLUSION DEVICE  
AND METHODS OF USE**

Group Art Unit: 3763

Examiner: M. Mendez

*Handwritten:* 9, 103, 3-9-01, and a signature.

**INFORMATION DISCLOSURE STATEMENT**

BOX CPA  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97–1.98, information relating to the above-identified application is hereby disclosed. The accompanying Form PTO-1449 provides a listing of documents that may be relevant to the subject application.

It is requested that the Examiner fully consider the art cited in the accompanying Form 1449, initial the left-most column of the form adjacent each cited reference, and return a copy for Applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application. Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

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CERTIFICATE OF MAILING (37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

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*Cynthia B. Pacheco*  
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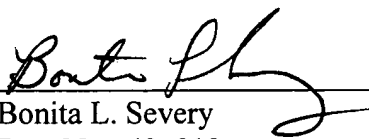
In accordance with §1.97(b), this Information Disclosure Statement is being filed within three months of the filing date of the above-identified application, and therefore no fee is required.

This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made. Nor does this statement constitute an admission by Applicants or Applicants' agent that the information provided herein is necessarily prior art to Applicants' invention. Moreover, Applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references. Please charge any deficiency or credit any overpayment to Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON LLP

Dated: February 19, 2001

By:   
Bonita L. Severy  
Reg. No. 43,913  
Attorneys for Applicants

BLS/cp  
633 West Fifth Street, Suite 4700  
Los Angeles, California 90071-2066  
(949) 567-2300 or (213) 489-1600